

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
FIRST QUARTER
FEDERAL FISCAL YEAR 2004

1

The following list is intended to provide general guidance for the user about the status and quality of reports from the Federal agencies. Users are urged to contact the Census Bureau if any reporting problems are discovered.

GENERAL NOTE: The congressional district designation in field 12 of each record reflects the congressional district of the 108th Congress.

Agriculture, Department of

Missing Data Elements: None

Reporting Deviations:

Nonfederal Funding -- amounts are estimated for some entries (Food and Nutrition Service grants -- CFDA range 10.550 to 10.565).

Type of Assistance -- CFDA program 10.051 is classified as both "direct loans" (code 07) and "other assistance" (code 11). This program is Commodity Loans and Loan Deficiency Payments (Price Supports), for which the Federal Government provides assistance in the form of direct loans or direct payments. The Agriculture Department has classified the purchase of commodities under this program as "other" financial assistance. The value of forfeited collateral in program number 10.051 is not reported in the FAADS.

Program coverage:

Programs 10.769, Rural Development Grants, and 10.433, Rural Housing Preservation Grants are reported in the county aggregate format even though the awards are to public bodies.

The Food Stamp program is reported as a single entry for each State, paid to the State capital. No substate detail on this program is available for FAADS.

Appalachian Regional Commission

Missing Data Elements: None

Reporting Deviations: None

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

2

Commerce, Department of

Missing Data Elements: None

Reporting Deviations: None

Note: Ending dates on some Commerce Department loan obligations reflect the entire period over which the loan is outstanding. Some of these are ten or more years in duration, and thus the year for the ending date will appear in FAADS data as 12, 13, 14 and so forth (reflecting 2012, 2013, 2014, etc.).

Corporation for National and Community Service

Missing Data Elements: None

Reporting Deviations: None

In fiscal year 1996 all the programs of the former Action agency were transferred to the Corporation and renumbered in the 94.xxx series as follows:

72.001 to 94.011	72.002 to 94.002	72.003 to 94.013
72.008 to 94.016	72.015 to 94.010	

Defense, Department of

Missing Data Elements: None

Reporting Deviations: None

Education, Department of

Missing Data Elements: None

Reporting Deviations:

Program Coverage: Awards under CFDA program 84.032, Federal Family Education Loans (Guaranteed Student Loans) are not reported by the Department of Education on a quarterly basis. All transactions for this program are reported in the fourth quarter.

Note: Awards under CFDA 84.031, Higher Education-Institutional Aid, have ending dates far into the future. To strengthen college endowments, these awards must be invested for a period of years, after which the grant may be used.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

3

Energy, Department of

Missing Data Elements: None

Reporting Deviations:

Recipient Location – Reflects the project location rather than the recipient location, although they frequently are the same.

Federal Agency Code – All records reflect the departmental code “8900” rather than the codes for the individual offices within the Department administering the various programs.

Start dates – Many records with start dates more than 2 years old were accepted as valid.

Environmental Protection Agency

Missing Data Elements: None

Reporting Deviations: None

Equal Employment Opportunity Commission

Data are reported in the fourth quarter only.

Department of Homeland Security, Federal Emergency Management Agency

Missing Data Elements: None

Reporting Deviations:

Note: Federal funds reported for the Flood Insurance Program (formerly CFDA 83.100, now CFDA 97.022) represent the face value of policies written during the quarter. The Federal Flood Insurance program consists of two parts -- policies written by the Federal government, and policies written by private insurance companies (write-your-own). The write-your-own program is included on a one quarter lag basis.

Data for The Emergency Food and Shelter Program (formerly CFDA 83.523, now CFDA 97.024), are reported annually in the third quarter of each fiscal year. All the data are reported in county aggregate format. Some of the data, however, cannot be attributed to individual county areas. In such cases the county code of the state capital is used and the

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

4

FEMA - (continued)

“state-wide” designation is used in the place of performance data field. This code consists of the appropriate 2-digit state code followed by 5 asterisks (example: 01*****).

When the Department of Homeland Security was created, many agencies and programs that previously were independent entities or integral parts of other departments were incorporated into its structure. Many financial assistance award programs had to be assigned new Catalog of Federal Domestic Assistance program numbers. The following chart can be used to identify the new program numbers.

<u>Old CFDA</u>	<u>New CFDA</u>	<u>CFDA Program Title</u>
16.007	97.004	State Domestic Preparedness Equipment Support Program
16.008	97.005	State and Local Domestic Preparedness Training Program
16.009	97.006	State and Local Domestic Preparedness Exercise Support
16.010	97.007	State and Local Domestic Preparedness Technical Assistance
16.011	97.008	Urban Areas Security Initiative
16.201	97.009	Cuban and Haitian Entrant Resettlement Program
16.400	97.010	Citizenship Education and Training
20.001	97.011	Boating Safety
20.005	97.012	Boating Safety Financial Assistance
20.006	97.013	State Access to the Oil Spill Liability Trust Fund
20.007	97.014	Bridge Alteration
21.100	97.015	Secret Service-Training Activities
83.007	97.016	Reimbursement for Firefighting on Federal Property
83.009	97.018	National Fire Academy Training Assistance
83.010	97.019	National Fire Academy Educational Program
83.011	97.020	Hazardous Materials Training Program
83.012	97.021	Hazardous Materials Assistance Program
83.100	97.022	Flood Insurance
83.105	97.023	Community Assistance Program-State Support Services Element
83.523	97.024	Emergency Food and Shelter National Board Program
83.526	97.025	National Urban Search and Rescue (US&R) Response System
83.527	97.026	Emergency Management Institute-Training Assistance
83.529	97.027	Emergency Management Institute (EMI)-Independent Study Prog.
83.530	97.028	Emergency Management Institute (EMI)-Resident Education Prog.
83.536	97.029	Flood Mitigation Assistance
83.537	97.030	Community Disaster Loans
83.538	97.031	Cora Brown Fund
83.539	97.032	Crisis Counseling
83.540	97.033	Disaster Legal Services
83.541	97.034	Disaster Unemployment Assistance
83.543	97.035	Individual and Family Grants
83.544	97.036	Public Assistance Grants
83.545	97.037	Disaster Housing Program

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

5

FEMA - (continued)

<u>Old CFDA</u>	<u>New CFDA</u>	<u>CFDA Program Title</u>
83.547	97.038	First Responder Counter-Terrorism Training Assistance
83.548	97.039	Hazard Mitigation Grant
83.549	97.040	Chemical Stockpile Emergency Preparedness Program
83.550	97.041	National Dam Safety Program
83.552	97.042	Emergency Management Performance Grants
83.553	97.043	State Fire Training Systems Grants
83.554	97.044	Assistance to Firefighters Grant
83.555	97.045	Cooperating Technical Partners
83.556	97.046	Fire Management Assistance Grant
83.557	97.047	Pre-Disaster Mitigation
83.558	97.048	Federal Assistance to Individuals and Households-Housing
83.559	97.049	Federal Assistance to Individuals and Households-Disaster Oper.
83.560	97.050	Federal Assistance to Individuals and Households-Other Needs
83.562	97.051	State and Local All Hazards Emergency Operations Planning
83.563	97.052	Emergency Operations Centers
83.564	97.053	Citizen Corps
83.565	97.054	Community Emergency Response Teams
83.566	97.055	Interoperable Communications Equipment

Federal Mediation and Conciliation Service

Due to the low volume of financial assistance transactions, the agency reports such transactions only once each fiscal year, in the fourth quarter. The transactions are reported under CFDA Program number 34.002.

Due to unforeseen circumstances, submission of the FY 2001 data file of the Federal Mediation and Conciliation Service has been delayed. The data will be included in a subsequent file.

Health and Human Services, Department of

Missing Data Elements:

Nonfederal Funding -- not available for matching grant programs.

Reporting Deviations:

Multiple transactions under one project, including obligations and deobligations, are summarized under one entry per quarter. This applies primarily to assistance awards in the "direct payments" category.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

6

Proration/allocation methods are used to distribute assistance by geographic area for the following programs: 93.560, 93.563, 93.773, 93.774, 93.777, 93.778. For the programs 93.560 (Family Support Payments, AFDC), 93.563 (Child Support Enforcement), 93.777 (State Certification), and 93.778 (Medicaid), grant awards are made to the state governments, but reported here by county area.

Housing and Urban Development, Department of

Missing Data Elements:

Due to the limited information provided in some source records, the geographic location codes and place of performance codes have been approximated, based on available information. While occurring particularly in type 1 (County Aggregate) records, it also occurs in type 2 records, as well.

Reporting Deviations:

Funding -- Amounts reported for all HUD programs are actual expenditures for the quarter, NOT obligations.

Recipient Location -- for many programs, actually represents the project location. The recipient and project locations are usually the same.

Program coverage -- HUD does not report separately for the following CFDA programs:

14.119	14.121	14.127	14.130	14.132	14.162
14.163	14.172	14.175	14.852	14.855	14.856

Institute of Museum and Library Services

Missing Data Elements: None

Reporting Deviations:

NOTE: Data records included in this fourth quarter file reflect award activity for the entire fiscal year.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

7

Interior, Department of the

Missing Data Elements: None

Reporting Deviations: None

Japan United States Friendship Commission

Due to the low volume of financial assistance transactions, the agency will report such transactions only once each fiscal year, in the fourth quarter. The transactions will be reported under CFDA program number 91.100.

Justice, Department of

Missing Data Elements: None

Reporting Deviations: None

Labor, Department of

Missing Data Elements:

Reporting Deviations:

Action Date -- represented by last day of the reporting quarter for entries that reflect more than one transaction (See Note).

Note: For the Employment and Training Administration, reporting reflects multiple transactions summarized under one entry per quarter for each recipient.

Note: Data for a few programs were originally reported under obsolete Catalog of Federal Domestic Assistance program code numbers and were recoded by the FAADS staff to reflect current program codes. This recoding had no impact upon prior files.

National Aeronautics and Space Administration

Missing Data Elements:

Starting dates -- many N.A.S.A. programs are continuations or extensions of research that has been carried on over a long period of time.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

8

National Aeronautics and Space Administration - (continued)

Nonfederal funding -- not reported.

Reporting Deviations:

Recipient location as reported by NASA is the place of performance. This occasionally results in a research institution being reported as located at a NASA research center where the project is actually performed. Grants to the Smithsonian Institution have been coded to recipient type code 25.

Program Titles:

All NASA entries are listed as 43.AAA, designated as "Research Grants for the Space Program."

National Archives and Records Administration

Missing Data Elements: None

Reporting Deviations: None

National Endowment for the Arts

Missing Data elements: None

Reporting Deviations: None

Apparently DOI funds for Historic Preservation (15.904) have been transferred to NEA for administration. NEA submitted data for this file that includes records identified by this DOI program code number.

National Endowment for the Humanities

Missing Data Elements:

SAI Numbers -- all programs are exempt.

Principal Place of Performance has a waiver.

Reporting Deviations: None

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

9

National Science Foundation

Missing Data Elements: None

Reporting Deviations: None

Nuclear Regulatory Commission

Missing Data Elements: None

Reporting Deviations: None

Railroad Retirement Board

Missing Data Elements: None

Reporting Deviations: None

Note: CFDA Program 57.001 (Social Insurance for Railroad Workers) is reported in two parts, enabling users to distinguish awards by type of benefit:

57.001 - Social Insurance for Railroad Workers, retirement benefits

57.AAA - Social Insurance for Railroad Workers, unemployment & sickness benefits

Small Business Administration

Missing Data Elements: None

Reporting Deviations: None

Smithsonian Institution

Missing Data Elements: None

Reporting Deviations: None

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

10

Social Security Administration

Missing Data Elements: None

Reporting Deviations: None

Special Note:

All data users must be aware that in fiscal years prior to 1996, the Social Security Administration was a part of the Department of Health and Human Services which reported data under a different set of program numbers. The accompanying table provides the current and the prior CFDA program numbers for the Social Security Administration programs.

<u>Current CFDA Number</u>	<u>Program Title</u>	<u>Prior CFDA Number</u>
96.001	Social Security Disability Insurance	93.802
96.002	Social Security Retirement Insurance	93.803
96.003	Social Security Special benefits for Persons Aged 72 & Over	93.804
96.004	Social Security Survivors Insurance	93.805
96.005	Special Benefits for Disabled Coal Miners (Black Lung)	93.806
96.006	Supplemental Security Income	93.807
96.007	Social Security Research and Demonstration Grants	93.812

Transportation, Department of

Missing Data Elements: Waiver for all ending dates.

Reporting Deviations: All dates reflect month and year only.

Department of the Treasury - Internal Revenue Service

Missing Data Elements: None

Reporting Deviations: None

Note: Many IRS grants are to recipients which operate in multi-county areas and the place of performance must be indicated as state-wide. Data are reported once each fiscal year, usually in the first quarter.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

11

**State, Department of -
Bureau of Educational and Cultural Affairs**

The United States Information Agency has been abolished and its programs transferred to The Department of State. Starting in FY 2001 transactions have been reported only once each fiscal year, in the fourth quarter, under the program numbers of the State Department.

<u>USIA</u>	<u>State Department</u>
82.001	19.400
82.002	19.401
82.004	19.402
82.006	19.403
82.009	19.404
82.010	19.405
82.011	19.406
82.012	19.407
82.013	19.408
82.015	19.409
82.016	19.410
82.018	19.411
82.026	19.412
82.030	19.413
82.032	19.414
82.033	19.415
82.034	19.416
82.035	19.417
82.038	19.418

United States Institute of Peace

Due to the low volume of financial assistance transactions, the agency usually reports such transactions only once each fiscal year, in the fourth quarter. The transactions are reported under two CFDA program numbers: 91.001 or 91.002.

FEDERAL ASSISTANCE AWARD DATA SYSTEM
DETAILED ANALYSIS OF FEDERAL AGENCY
REPORTING COMPLIANCE
(CONTINUED)

12

Veterans Affairs, Department of

Missing Data Elements: No FAIN number reported for a small number of records.

Reporting Deviations:

Federal Award Identifier Number -- not available for:

Grants to States for Construction of State Home Facilities - 64.005
Veterans State Domiciliary Care - 64.014
Veterans State Nursing Home Care - 64.015
Veterans State Hospital Care - 64.016